



POLICY ON SPONSORED PROGRAM EXPENDITURES AUTHORIZATION/APPROVAL

Policy Number: 5.0.6

Version Number: 001

Classification: Post-Award Administration

Effective Date: July 1, 2018

Responsible University Office: Grants and Contracts Administration

1.0 Purpose

The purpose of this policy is to assign responsibility for expenditure approvals for sponsored program project activities.

2.0 Applicability

This policy applies to sponsored projects and the Principal Investigator (PI) for those sponsored projects.

3.0 Government Rules and Regulations

2 CFR 200.61 provides requirements to ensure that non-federal entities implement processes to follow to comply with applicable laws and regulations regarding internal control.

4.0 Definitions

Principal Investigator (PI) Approval: The PI is aware of all expenditures charged on his/her sponsored project(s).

5.0 Policy

The Principal Investigator (PI) of a sponsored program project is responsible for all expenditure and programmatic activities related to his/her awarded project. The PI is expected to approve or authorize all expenditures for sponsored program project activities. The PI may delegate signature authority as indicated in the Sponsored Program Expenditures Authorization/Approval procedure. The applicable procedure is located on the Division of Research web site. All individuals who approve expenditures must have technical expertise and be knowledgeable of the sponsored project. Signature stamps are not valid for approving expenditures.

6.0 Responsibilities

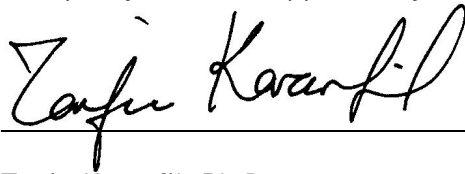
All individuals who approve expenditures must have technical expertise and be knowledgeable of the sponsored project.

7.0 Sanctions for Non-Compliance

Audit findings can result in loss of funding and additional fines and penalties and possibly the debarment and suspension of a PI or the University from accepting federal awards.

8.0 Approval Signatures

This policy has been approved by:



July 1, 2018

Tanju Karanfil, Ph.D.
Vice President for Research

DATE

REVISION HISTORY		
EFFECTIVE DATE	REVISION NUMBER	MODIFICATION
July 1, 2018	001	Policy Reformat