

Department TPR and PTR Documents Routing Sheet Requirements based on 2020-2021 Faculty Manual

In accordance with the *Faculty Manual*, each department's TPR document must be approved by the regular departmental faculty, department chair, college dean, and Provost (Chapter V,D1d).

This document is intended to support the documentation of the required approvals.

College:				
Department Faculty Meeting at wh	ich the attached TPR docum	nents were approved:		
Faculty Manual Consultant	Faculty Manual Consultant			
I have reviewed this document for Comments are attached immediately.				
Department Chair	,			
Approved	Signature			
Revision Required (see comments)	Name	Date		
Dean Approved Revision Required (see comments)		Date		
Provost Approved	_			
	Name	Date		

Requirements for DEPARTMENTAL TPR and PTR DOCUMENTS - 2020-2021 Faculty Manual

Department: Marketing Date 4/16/2021

NOTE: The TPR document must be approved by the regular departmental faculty, department chair, college dean, and Provost (Chapter V, D1d). This list may be useful to ensure departmental TPR and PTR documents conform with the *Faculty Manual*. Updated 8/12/2019.

Compliance

NOTE: Principal lecturers must be incorporated into department TPR documents by August 1, 2021

Requirement Consistent otherwise with the Faculty Manual and internally and with departmental bylaws	Reference Ch III, A1c	Yes	No *	I T
The TPR document is distinct from departmental bylaws		V		
Criteria for tenure	Ch V, D1c	X		
Process for tenure	Ch V, D1b	X	<u> </u>	-
Consistent with the requirement that tenure applications, once submitted, cannot be withdrawn	Ch V, D1b	X		-
(New in 2018-2019 Faculty Manual)	Ch V, C3	X		
Qualifications (criteria) for reappointment	Ch V, D1c			_
* assistant and untenured associate professor	Ch V, D1b	X		Τ
* research faculty	Ch IV, B2e & B2b, i(3)	Λ		-
* extension faculty	Ch IV, B2e & B2b, ii(4)			+
* clinical faculty	Ch IV, B2e & B2B, II(4)	X		
* lecturer	Ch IV, B2e; Ch V, C2b, i	X		\vdash
* senior lecturer	Ch IV, B2e; Ch V, C2c	X		\vdash
* principal lecturer (Can delay until Aug 1, 2021)	Ch IV, B2e; Ch V, C2d	X		\vdash
* Professor of Practice	Ch IV, B2e, Cli V, C2d	X		
Processes for reappointment (annual except as noted below)	Ch V, D1c	Λ		
* assistant and untenured associate professor	Ch V, D1b	Х		T
* research faculty	Ch IV, B2e	Λ		╁
* extension faculty	Ch IV, B2e			
* clinical faculty	Ch IV, B2e	X		\vdash
* lecturer	Ch IV, B2e; Ch V, C2b, i	X		t
* including feedback from senior and principal lecturers	Ch V, D1g	X		\mathbf{I}
* senior lecturer	Ch IV, B2e; Ch V, C2c	X		$^{+}$
* including feedback from senior and principal lecturers	Ch V, D1g	X		t
* at least every three years and in penultimate year	Ch V, C2c, i	X		\mathbf{I}
* principal lecturer (Can delay until Aug 1, 2021)	Ch IV, B2e; Ch V, C2d	X		\mathbf{I}
* including feedback from principal lecturers	Ch V, D1g	X		$^{+}$
* at least every five years and in penultimate year	Ch V, C2d, i	X		$^{+}$
* Professor of Practice	Ch IV, B2e	X		
Qualifications (criteria) for promotion	Ch V, C4a, i	- 1		1
* to associate professor	Ch IV, B1f, iii	X		T
* to full professor	Ch IV, B1f, iv	X		t
* research faculty ranks	Ch IV, B1e	- 1		t
* extension faculty ranks	Ch IV, B1e	†		t
* clinical faculty ranks	Ch IV, B1e	X	\vdash	t
* to senior lecturer	Ch IV, B1e & B2i, iv(3),(b)	X		t
* to principal lecturer (Can delay until Aug 1, 2021)	Ch IV, B1e & B2i, iv(4),(b)	X		t
Processes for promotion	Ch V, D1c			
* to associate professor	Ch V, D1c	X		T
* to full professor	Ch V, D1c	X		t
* research faculty ranks	Ch V, D1c			t
* extension faculty ranks	Ch V, D1c			t
* clinical faculty ranks	Ch V, D1c	X		t
* to senior lecturer	Ch IV, B2i, iv(3),(b)	X		t
* including feedback from senior and principal lecturers	Ch V, D1g	X		l
* to principal lecturer (Can delay until Aug 1, 2021)	Ch IV, B2i, iv(4),(b)	X		T
* including feedback from principal lecturers	Ch V, D1g	X		T

10	• The composition of the TPR committee shall be defined in the TPR document (change from 2018-2019; this committee need not be elected)	Ch V, D1e, i	X		
11	• The TPR committee's members shall not be appointed by the department chair (new in 2019-2020)	Ch V, D1e, i	Х		
12	• Voting rights on a committee making tenure recommendations are limited to tenured regular faculty	Ch V, D1e, ii	Х		
13	• The Committee shall be composed of full-time regular faculty members excluding individuals who as administrators, have input into personnel decisions such as appointment, tenure and promotion	Ch V, D1e, ii	Х		
14	Voting rights on a committee making a recommendation concerning promotion to rank or appointment at a rank are limited to regular faculty with equivalent rank or higher	Ch V, D1e, iii	Х		
15	• The Committee must have a minimum of three departmental members, and a mechanism to elect additional members from outside the unit if not possible that is consistent with Ch V, D2a, ii	Ch V, D1e, iv	X		
16	• Departmental procedures for peer evaluation shall be in writing in the TPR document and shall be available to the faculty, the chair, the dean, and the Provost	Ch V, D1f, i	Х		
Guidel	ines providing details of the PTR process adhering to Faculty Manual requirements to include at lea	st the following:			
17	Post tenure review criteria and processes are documented in the TPR document	Ch V, G3a	X		
18	Specific guidelines	Ch V, G3a		*	
19	Specification of ONE option for external representation	Ch V, G6a	X		
19a	• Process for <i>selecting</i> an external PTR member if this is part of the Post-tenure review process	Ch V, G6a, ii	X		
19b	• If external letters are required for post-tenure review, there must be at least four letters, two from list of six submitted by faculty member	Ch V, G6e			X
19c	Allow each faculty member under review the option of either having external letters solicited or incorporating the external committee member in the review process	Ch V, G6a, iii			X
20	• Procedures for creating the Post-Tenure Review Committee (need not be separate from the TPR Committee; need not be elected)	Ch V, G4a	X		
21	Only tenured faculty may serve on the PTR Committee	Ch V, G4b	X		
22	The PTR Committee shall have a minimum of three members	Ch V, G4c	X		
23	• Faculty members in Part II of PTR are not eligible to serve on the PTR committee	Ch V, G4d	X		
24	The PTR Committee shall elect its own chair	Ch V, G4e	X		

Comments

While there is a discussion of the PTR ratings of Satisfactory / Unsatisfactory for PTR Part II, there is no guidance as to what will lead to either conclusion.

^{**} The bottom of page 4 indicates the ranks that this unit employs; since neither research nor extension ranks are included, I am concluding that research and extension faculty are not utilized in this unit.

DEPARTMENT OF MARKETING College of Business

TENURE, PROMOTION, REAPPOINTMENT, AND POST-TENURE REVIEW GUIDELINES

This document contains policies, procedures, committee structures, and standards to guide tenure, promotion, reappointment, and post-tenure review recommendations. Any changes to this document must be approved by the regular Marketing faculty, Marketing department chair, College of Business Dean, and the Provost.

Revised: April 2021*

*If a non-tenured department member is within a two-year window of their penultimate year when these guidelines are approved, they should notify the TPR Committee and Department Chair if they want to be evaluated using the processes and standards herein. Otherwise, they will be evaluated using the processes and standards specified in the previous most recent version of the TPR Guidelines.

COMMITTEE DUTIES AND COMPOSITION

- 1. Tenure, Promotion, and Reappointment Committee
 - a. Duties of the Committee. The Marketing Department believes that each faculty member can make important contributions to accomplishing the department's vision as stated in the Marketing Bylaws (2017). The work of the committee is undertaken to ensure that the faculty member's contribution is aligned with the department vision and enhances the college, the university, and the discipline. As such, the committee will base reappointment, tenure, and/or promotion recommendations on faculty members' contributions in the areas of research, teaching, and/or service, depending on the faculty member's duties and responsibilities. The decision of granting tenure is major as it may imply a commitment of decades by Clemson University. Correspondingly, the Tenure, Promotion, and Reappointment (TPR) Committee (hereafter, "the Committee") shall evaluate all regular- and special-rank faculty members within the Marketing Department (hereafter, "the Department"), as described in Articles II and III of this document, and make recommendations about reappointment, tenure, and promotion. Further, the Committee for regular rank faculty shall evaluate sabbatical requests, make recommendations on tenure, rank, and years credited from time in rank at other institutions for new hires, and develop and maintain guidelines for each of these responsibilities. In performing these duties, the Committee shall observe all University-established deadlines applicable to the review and reporting process.

b. Composition.

- 1) Regular Rank Faculty Member Evaluation. Per the Faculty Manual, the Committee to evaluate regular rank faculty is limited to tenured, regular-rank faculty members who are not on sabbatical or other official leave, with the caveat that any faculty member requesting promotion is not eligible for committee membership during the academic year that the request is evaluated. The Committee must have at least three (3) members and may contain more members subject to the following guidelines:
 - a) All tenured regular-rank faculty members in the department who are in residence shall serve on the Committee. The Committee will form subcommittees to prepare annual evaluation letters for non-tenured regular rank faculty and evaluation letters for regular-rank faculty members petitioning for tenure and/or promotion. The Committee will

- determine the size and composition of each subcommittee for faculty assessments, and subcommittees should consist of at least three members whenever feasible.
- b) If the department has fewer than three tenured, regular-rank faculty members, the Committee shall consist of three members, including all tenured, regular-rank faculty members within the department and external members selected from the College of Business's (hereafter, "the College") tenured, regular-rank faculty members. When the Committee requires one or more external member(s), the Committee will solicit and qualify potential external members by considering their availability and credentials for evaluating marketing faculty (e.g., an external member should be capable of evaluating the research program(s) of the faculty member(s) being evaluated). The Committee will then present a list of all qualified external members for election by the full departmental regular faculty.
- c) Associate Professors petitioning for promotion to Professor will be evaluated by a subset of the Committee consisting of all tenured Professors in the department. If the number of tenured Professors is fewer than three, the Committee shall be composed of three members, including all tenured, regular-rank Professors within the department and external members selected from the College's tenured, regular-rank, Professors according to the process described in the previous paragraph.
- 2) Lecturer Evaluation. A subcommittee of the Committee and all the Department's Senior and Principal Lecturers will conduct annual evaluations of Lecturers and evaluate Lecturers petitioning for promotion to Senior Lecturer. If the Department does not include Senior or Principal Lecturers, evaluations of Lecturers will be conducted by the Committee. The subcommittee evaluating Lecturers will consist of a minimum of three (3) members, including, when possible, at least one Senior or Principal Lecturer and one tenured regular rank Committee member. Any Senior or Principal Lecturer requesting reappointment or promotion is not eligible for committee membership during the academic year that the request is evaluated. Each faculty evaluation letter will be reviewed and approved by both the TPR committee as a whole and all eligible Senior and Principal Lecturers before being released to the faculty member. All Committee members and eligible Senior and Principal Lecturers will vote on the Committee's recommendation to reappoint or promote a Lecturer.

- 3) **Senior Lecturer Evaluation**. A subcommittee of the Committee and all the Department's Senior and Principal Lecturers will conduct evaluations of Senior Lecturers requesting reappointment. A subcommittee of the Committee and all the Department's Principal Lecturers will conduct evaluations of Senior Lecturers requesting promotion to Principal Lecturer. If the Department does not include Senior or Principal Lecturers, evaluations of Senior Lecturers will be conducted by the Committee. The subcommittee evaluating Senior Lecturers for reappointment will consist of a minimum of three (3) members, including, when possible, at least one Senior or Principal Lecturer and one tenured regular rank Committee member. The subcommittee evaluating Senior Lecturers for promotion will consist of a minimum of three (3) members, including, when possible, at least one Principal Lecturer and one tenured regular rank Committee member. Any Senior Lecturer requesting reappointment or promotion is not eligible for subcommittee membership during the academic year that the request is evaluated. Each faculty evaluation letter will be reviewed and approved by both the TPR committee as a whole and all eligible Senior and Principal Lecturers before being released to the faculty member. All Committee members and eligible Senior and Principal Lecturers will vote on the Committee's recommendation to reappoint or promote a Senior Lecturer.
- 4) Principal Lecturer Evaluation. A subcommittee of the Committee and all the Department's Principal Lecturers will conduct evaluations of Principal Lecturers requesting reappointment. If the Department does not include Principal Lecturers, evaluations of Principal Lecturers will be conducted by the Committee. The subcommittee evaluating Principal Lecturers for reappointment will consist of a minimum of three (3) members, including, when possible, at least one Principal Lecturer and one tenured regular rank Committee member. Any Principal Lecturer requesting reappointment is not eligible for subcommittee membership during the academic year that the request is evaluated. Each faculty evaluation letter will be reviewed and approved by both the TPR committee as a whole and all eligible Principal Lecturers before being released to the faculty member. All Committee members and eligible Principal Lecturers will vote on the Committee's recommendation to reappoint a Principal Lecturer.
- 5) Other Special Rank Faculty Evaluation. A subcommittee of the Committee will conduct annual evaluations of other special rank faculty (e.g., Clinical faculty, Professors of Practice). The subcommittee evaluating special rank faculty will consist of a minimum of three (3) members. Each faculty evaluation letter will be reviewed and approved by the Committee as a whole before being released to the faculty member. All Committee members are eligible to

vote on the subcommittee's recommendation to promote a special rank faculty member (e.g., Clinical faculty promotion).

- c. *TPR Chairperson*. The Committee will elect its own chairperson who will represent the Committee in discussions with the faculty member, the Department chair, and the Dean's office. A Committee member may not serve as Chair during their first year on the Committee.
- d. *Term.* All tenured, regular-rank faculty members in the department who are in residence shall serve on the Committee each year. When appropriate, all the department's Senior and Principal Lecturers shall serve on the subcommittee for Lecturer evaluation each year. When appropriate, all the department's Senior and Principal Lecturers shall serve on the subcommittee for Senior Lecturer evaluation each year. When appropriate, all the department's Principal Lecturers shall serve on the subcommittee for Principal Lecturer evaluation each year.
- e. *Confidentiality*. All committee deliberations will remain confidential at all times except when testifying before a University grievance board or when compelled by a court subpoena. Otherwise, divulging confidential discussions is a violation of the University's policy of ethical conduct and will be reported to the University (see http://www.clemson.edu/employment/ for more information about Clemson's policy on ethical behavior).

2. Post-Tenure Review Committee

- a. Duties of the Committee. Whenever any faculty member is scheduled for regular review or in a period of post-tenure review remediation, the Post-Tenure Review committee (hereafter "PTR Committee") shall be formed to evaluate the member. In performing these duties, the PTR Committee shall observe all University-established deadlines applicable to the review and reporting process.
- b. *Composition*. The PTR Committee is a subset of the Committee and will consist of a minimum of three tenured regular rank faculty members at a rank equal to or higher than the member being reviewed. The Committee will form the post-tenure review subcommittee and the subcommittee will elect its own chairperson. Faculty members who are under Part II PTR review are ineligible to serve on the PTR Committee. In cases in which the department does not have enough tenured regular faculty members to constitute a PTR Committee, the departmental Tenure, Promotion, and

Reappointment committee will elect regular faculty members from other departments who are qualified to serve on the PTR Committee.					
a e					

c. *Term.* The period of service for members of the PTR committee will be one year.

ARTICLE II

EVALUATION PROCESS AND CRITERIA

The Committee (and PTR Committee) shall use the processes and general criteria described below to perform its duties. Article III provides specific evidence of performance that Committee members should consider in their evaluations. While the Committee is responsible for providing faculty members being evaluated with relevant deadlines and other information pertinent to the evaluation process, the faculty member also bears responsibility for knowing this information by consulting department, college, and university rules and regulations.

1. Regular-Rank Faculty Evaluations

- a. Reappointment of untenured faculty members.
 - 1) **Time in rank.** There are no time-in-rank requirements for reappointment.
 - General expectations. To be reappointed, a faculty member must provide evidence of demonstrable progress toward tenure through contributions in research, teaching, and service (see Article III).
 - 3) **Process.** A faculty member seeking reappointment must provide the Committee, through Clemson's TPR system, with evidence of research, teaching, and service contributions. Such evidence should date to the faculty member's hire at Clemson plus any years credited from time in rank at other institutions. Should the faculty member need to update the Committee with any significant accomplishments that occur during the Committee's deliberations, the faculty member should notify the TPR Chair as soon as possible so that the additions can be made within the TPR system. The Committee will only consider information provided by the faculty member through the TPR system but reserves the right to request additional information from the faculty member and obtain additional information from other appropriate sources. If the Committee obtains additional information that contradicts the faculty member's claims of his/her record, the Committee shall inform the faculty member of these sources. Each faculty evaluation letter will be reviewed and approved by a majority vote of the Committee before being released to the faculty member.

4) External letters. External letters are not required for reappointment.

b. Tenure and promotion to the rank of Associate Professor.

- 1) Time in rank. Tenure of a faculty member requires six (6) years of tenure-track experience in residence, years credited from time in rank at other institutions, or some combination thereof. The decision to grant tenure and promotion shall normally be made during the penultimate year of the probationary period and becomes effective at the beginning of the next academic year. In some cases, however, the length of the probationary period can vary. Faculty members may elect to apply for tenure prior to their penultimate year. In these instances, the remaining number of probationary years are forfeited and the year during which the faculty member is being evaluated for tenure becomes the faculty member's penultimate year. Other cases may involve one or more extensions of the probationary period. Granting a faculty member an approved extension of the probationary period (due to, for example, health, parenting, or professional reasons) does not change the requirements for a positive tenure decision. It only serves to provide the faculty member with additional time for the probationary period that is equivalent to the length of the extension.
- 2) General expectations. To be tenured and promoted, faculty members must meet the requirements for Associate Professor. Tenure and promotion require a rating of "Excellent" in research and a minimum rating of "Very Good" in teaching and service (see Article III). Because tenure is a commitment to continued, long-term employment by the University, there must be evidence in the faculty member's record to project continued contributions in each of these three major areas of evaluation.
- 3) **Process**. A faculty member seeking tenure and promotion must provide the Committee, through Clemson's TPR system, with evidence of her/his contributions. Such evidence should date to the candidate's hire at Clemson, plus any years credited from time in rank at other institutions. Should the faculty member need to update the Committee with any significant accomplishments that occur during the Committee's deliberations, the faculty member should notify the TPR Chair as soon as possible so that the additions can be made within the TPR system. The Committee will only consider information provided by the faculty member through the TPR system but reserves the right to request additional information from the

faculty member and obtain additional information from other appropriate sources. If the Committee obtains additional information that contradicts the faculty member's claims of his/her record, the Committee shall inform the faculty member of these sources. All Committee members are eligible to vote on tenure and promotion recommendations. Recommendations will be communicated in the Committee letter, including the result of the vote. In the case of a split vote, the Committee members should make every attempt to dialogue until an unambiguous decision is made.

4) External letters. As part of the process described above, requests for tenure and promotion must be accompanied by reviews from individuals with appropriate academic research records. Because the purpose of the reviews is to obtain independent, outside evaluations of the quality of the faculty member's research, external evaluators cannot be co-authors, members of the faculty member's dissertation committee, or have significant conflict of interest. A minimum of four external letters should be part of the faculty member's TPR package. If four external letters are not obtained, the Committee must demonstrate that it took reasonable efforts to acquire four external reviews. When deciding on the list of external reviewers, the Committee will select a minimum of two external reviewers suggested by the faculty member and a minimum of two external reviewers from the list developed by the Committee.

c. Promotion to the Rank of Professor.

- 1) **Time in rank**. To be eligible for promotion for Professor, a faculty member must have completed ten (10) years of academic experience as a regular rank faculty member and must have been an Associate Professor for at least five (5) years.
- 2) **General Expectations.** To be promoted, faculty members must meet the requirements for Professor. Promotion to Professor requires a rating of "Excellent" in research, teaching, and service (see Article III). Promotion to Professor is based on the quality and quantity of the faculty member's contributions, not time in rank. Further, one must demonstrate leadership in the Department, the College, the University, the profession, and, where appropriate, the community at large through community involvement.
- 3) **Process**. A faculty member seeking promotion to Professor must provide the Committee, through Clemson's TPR system, with evidence of her/his career contributions. Should the

faculty member need to update the Committee with any significant accomplishments that occur during the Committee's deliberations, the faculty member should notify the TPR Chair as soon as possible so that the additions can be made within the TPR system. The Committee will only consider information provided by the faculty member through the TPR system but reserves the right to request additional information from the faculty member and obtain additional information from other appropriate sources. If the Committee obtains additional information that contradicts the faculty member's claims of his/her record, the Committee shall inform the faculty member of these sources. Recommendations will be communicated in the Committee letter, including the result of the vote. In the case of a split vote, the Committee members should make every attempt to dialogue until an unambiguous decision is made.

4) External letters. As part of the process described above, requests for promotion to Professor must be accompanied by reviews from faculty members at other institutions. Because the purpose of the reviews is to obtain independent, outside evaluations of the quality of the faculty member's research, external evaluators cannot be co-authors, members of the faculty member's dissertation committee, or have significant conflict of interest. A minimum of four external letters should be part of the faculty member's TPR package. If four external letters are not obtained, the Committee must demonstrate that it took reasonable efforts to acquire four external reviews. When deciding on the list of external reviewers, the Committee will select a minimum of two external reviewers suggested by the faculty member and a minimum of two external reviewers from the list developed by the Committee.

2. Special-Rank Faculty Evaluations

Per the Faculty Manual (Part IV, Section A), the Committee evaluates lecturers (for reappointment and promotion), adjunct faculty, clinical faculty and Professors of Practice.

a. Reappointment of Special Rank Faculty

- 1) **General expectations.** To be reappointed, a faculty member must provide evidence of her/his contributions based on duties and responsibilities described in their appointment letter, in the Faculty Manual, or as negotiated with the Department Chair (see Article III of the Faculty Manual).
- 2) Process. A faculty member seeking reappointment to a special rank must provide the Committee, through Clemson's TPR system, with evidence of her/his contributions. In the reappointment review of Lecturers and Senior Lecturers, the committee shall solicit recommendations from Senior and Principal Lecturer(s) in the department in a manner consistent with the department's bylaws and TPR document. In the reappointment review of Principal Lecturers, the committee shall solicit recommendations from Principal Lecturers in the department in a manner consistent with the department's bylaws and TPR document. Should the faculty member need to update the Committee with any significant accomplishments that occur during the Committee's deliberations, the faculty member should notify the TPR Chair as soon as possible so that the additions can be made within the TPR system. The Committee will only consider information provided by the faculty member through the TPR system but reserves the right to request additional information from the faculty member and obtain additional information from other appropriate sources. If the committee obtains additional information that contradicts the faculty member's claims of his/her record, the committee shall inform the faculty member of these sources.

Lecturers will be reviewed annually. Senior Lecturers will be reviewed in their penultimate year, which for the first review cycle is their second year as a Senior Lecturer. Thereafter, Senior Lecturers are reviewed in the second of each three-year term. Principal Lecturers will be reviewed in their penultimate year, which for the first review cycle is their fourth year as a Principal Lecturer. Thereafter, Principal Lecturers are reviewed in the fourth of each five-year term.

b. Promotion to Senior Lecturer

- Degree. Promotion requires an advanced, but not a terminal degree, in Marketing or a closely related field.
- 2) Time in rank. After four full academic years, a Lecturer may apply for promotion to Senior Lecturer. A Lecturer who is not promoted to Senior Lecturer after nine full academic years will not be reappointed.
- 3) General expectations. Promotion to Senior Lecturer is intended to recognize the efforts, contributions, and performance of lecturers who combine effective instruction with additional significant contributions to the Department's vision and mission. Promotion to Senior Lecturer will be based on an assessment of the faculty member's teaching, service, and, as appropriate, research performance. Promotion to Senior Lecturer requires an overall rating of "Excellent" in Teaching and a minimum overall rating of "Very Good" for either service or research (see Article III).
- 4) Process. A faculty member seeking promotion to Senior Lecturer should provide the committee, through Clemson's TPR system, with evidence of her/his contributions. Further, the Committee shall solicit recommendations from Senior Lecturer(s) in the department in a manner consistent with the department's bylaws and TPR documents in the promotion review of Lecturers to Senior Lecturers. Should the faculty member need to update the Committee with any significant accomplishments that occur during the Committee's deliberations, the faculty member should notify the TPR Chair as soon as possible so that the additions can be made within the TPR system. The Committee will only consider information provided by the faculty member through the TPR system but reserves the right to request additional information from the faculty member and obtain additional information from other appropriate sources. If the committee obtains additional information that contradicts the faculty member's claims of his/her record, the committee shall inform the faculty member of these sources.

c. Promotion to Principal Lecturer

1) **Degree**. Promotion requires an advanced, but not a terminal degree, in Marketing or a closely related field.

2) **Time in rank.** Per the Faculty Manual, following a Senior Lecturer's fourth year of service, the department chair and the unit TPR committee shall conduct a comprehensive review of the Senior Lecturer either in response to a request for promotion to Principal Lecturer or to advise the Senior Lecturer of progress towards promotion to Principal Lecturer.

3) General expectations.

Excellent teaching. Candidates for promotion should present a record of sustained long-term excellence and diversity in teaching. Evidence of sustained excellence and diversity includes, but is not limited to, teaching evaluations at or above department means, positive peer reviews, teaching awards, new course development, course innovations, and successful teaching of a variety of different types of courses. Evidence of a record of continuing success in mentoring students, supervising independent studies or internships, and advising students will also be considered.

Excellence in service. When compared to expectations for promotion to Senior Lecturer, the service expectations for promotion to Principal Lecturer are primarily changed in terms of quality, not necessarily quantity. A faculty member should demonstrate a meaningful and consistent program of service that is strategically selected and aligns with the department, college, and university strategic plans.

Strong candidates for promotion to Principal Lecturer will demonstrate significant engagement across various levels of the institution (i.e., department, college, and university). Examples of service could include the following: significant service on department, college, or university committees; coordination of creative inquiry or similar student engagement experiences; serving as a mentor to Lecturers and other Senior Lecturers; advisement of student groups and individuals; administrative work; assisting with special events, projects, or other activities sponsored by the department, college, and/or university.

Consistently positive annual reviews. Previous annual reviews as Senior Lecturer should be consistently positive (e.g., Form 3 evaluations of 'very good' or 'excellent').

4) **Process.** A faculty member seeking promotion to Principal Lecturer should provide the committee, through Clemson's TPR system, with evidence of her/his contributions. Further, the Committee shall solicit recommendations from Principal Lecturer(s) in the department in a manner consistent with the department's bylaws and TPR documents in the promotion review of Senior Lecturers to Principal Lecturers. Should the faculty member need to update the Committee with any significant accomplishments that occur during the Committee's deliberations, the faculty member should notify the TPR Chair as soon as possible so that the additions can be made within the TPR system. The Committee will only consider information provided by the faculty member through the TPR system but reserves the right to request additional information from the faculty member and obtain additional information from other appropriate sources. If the committee obtains additional information that contradicts the faculty member's claims of his/her record, the committee shall inform the faculty member of these sources.

d. Promotion of Clinical faculty

- 1) **Degree**. Promotion requires a terminal degree in Marketing or a closely related field.
- 2) Time in rank. Clinical Assistant Professors are eligible for promotion to Clinical Associate Professor after completing a five-year term, and eligible for promotion to Clinical Professor after a ten-year term.
- 3) General expectations. Promotion of Clinical faculty is intended to recognize the efforts, contributions, and performance of Clinical faculty who combine effective instruction with additional significant contributions to the Department's vision and mission. Promotion of Clinical faculty will be based on an assessment of the faculty member's teaching, service, and research performance. Promotion requires an overall rating of "Excellent" in Teaching and a minimum overall rating of "Very Good" for both service and research.
- 4) **Process.** A Clinical faculty member seeking promotion must provide the Committee, through Clemson's TPR system, with evidence of her/his contributions. Should the faculty member need to update the Committee with any significant accomplishments that occur during the Committee's deliberations, the faculty member should notify the TPR Chair as soon as

possible so that the additions can be made within the TPR system. The Committee will only consider information provided by the faculty member through the TPR system but reserves the right to request additional information from the faculty member and obtain additional information from other appropriate sources. If the Committee obtains additional information that contradicts the faculty member's claims of his/her record, the Committee shall inform the faculty member of these sources.

3. Post-Tenure Review

Per the Faculty Manual (Part IV, Section H-2), tenured faculty shall undergo periodic post-tenure (PTR) review. The purpose of these reviews is to ensure that all faculty serve the needs of the students and the institution and that excellent faculty are identified and rewarded. PTR will be conducted during the fall semester of the sixth year after being granted tenure and each subsequent five years.

The focus of PTR is on the performance of the individual since the individual's tenure or previous PTR evaluation, however the overall contribution of the individual faculty member to Clemson University should not be neglected.

- a. **Process.** There are two parts to the PTR or review process.
 - 1) *Part I.* The chair of the academic unit must provide the PTR committee with copies of the tenured faculty member's annual performance reviews covering the preceding five years. The PTR committee will review the ratings received in those annual performance reviews (Form 3s). Faculty members receiving no more than one (of five) overall annual performance ratings of "fair," "marginal," or "unsatisfactory" on those reviews shall receive a PTR rating of "satisfactory." Those faculty members are thereby exempt from Part II of the PTR. If a faculty member has received more than one Annual Form 3 with a "Fair," "Marginal," or "Poor" performance evaluation, the faculty member will undergo a full PTR.
 - 2) **Part II.** This part of the PTR consists of additional review by the PTR committee and the department chair of those faculty identified in Part I as subject to further review. In addition to the copies of the faculty member's annual performance reviews covering the most recent five years, the department chair must also provide the PTR committee with a statement of the percentage allocation of assigned duties.

The PTR committee will select a faculty member or professional equivalent from outside the department nominated and selected in accordance with Article I of this document. The faculty member undergoing Part II of PTR must provide, at a minimum, the following documents to the PTR committee and the department chair. The committee also reserves the right to request additional information from appropriate sources.

- (a) A recent copy of the curriculum vita (paper or electronic);
- (b) A summary of student assessment of instruction for the last 5 years including a summary of statistical ratings from student assessments of instruction (if appropriate to the individual's duties).
- (c) A plan for continued professional growth;
- (d) Information about the outcomes of any sabbatical leave awarded during the preceding five years;
- (e) Other documents relevant to the review.
- 3) *PTR Report.* The PTR committee will provide a written report to the faculty member. The faculty member will be given two weeks to provide a response to the committee. If the faculty member requires additional time to prepare a response, a formal request must be directed to the PTR Committee Chair. The Committee Chair will then formally notify the faculty member of the PTR committee's decision about the time extension request. Both the committee's initial report and the response of the faculty member will be given to the dean of the academic unit. The department chair will submit an independently written report to the faculty member who will then have two weeks to provide a response. The chair's original report and the faculty member's response will be forwarded to the college dean. The ratings of either Satisfactory or Unsatisfactory will be used in all stages of the review by the PTR committee and the chair.

The candidate's final rating shall be satisfactory if (a) both the PTR Committee and the chair, or (b) either the PTR Committee or the chair, rates the candidate as satisfactory. The candidate's final rating shall be unsatisfactory if both the PTR Committee and the Chair rate the candidate as unsatisfactory. If the candidate's final rating is satisfactory, the dean will forward that information to the Provost in summary form without appending any candidate materials. If the candidate's final rating is unsatisfactory, the dean will forward all materials to the Provost.

- *a) Remediation.* Individuals who receive a rating of Unsatisfactory must be given a period of remediation to correct deficiencies detailed in the PTR reports. The process of remediation will occur according to Faculty Manual (Part IV, Section H-7).
- b) Dismissal for Unsatisfactory Professional Performance. If dismissal for unsatisfactory professional performance is recommended, the case will be subject to the rules and regulations outlined in the Faculty Manual Section K-3.
- 4) Confidentiality. The deliberations of the PTR committee will remain confidential at all times except when testifying before a University grievance board or when compelled by a court subpoena. Otherwise, divulging confidential discussions is a violation of the University's policy of ethical conduct and will be reported to the University (see_
 http://www.clemson.edu/employment/ for more information about Clemson's policy on ethical behavior).

ARTICLE III PERFORMANCE CRITERIA AND STANDARDS

Each faculty member is expected to make important contributions to accomplishing the department's vision as stated in the Marketing Bylaws (2017). Consequently, the TPR committee is responsible for determining the extent to which a faculty member's contribution is aligned with the department vision and enhances the college, the university, and the discipline. Moreover, the Committee's recommendation regarding the granting tenure is very important and implies a mutual long-term commitment to excellence by the faculty member and Clemson University.

The performance criteria and standards set forth in Article III are intended to establish departmental guidelines to facilitate the committee's recommendations on reappointment, tenure, and/or promotion. Faculty members should find these standards useful for career planning and development. Performance expectations for reappointment, promotion, and tenure are commensurate with time in rank. Thus, personnel decisions made in a given year are not necessarily precedents for decisions made in subsequent years.

Guidelines for Annual Faculty Performance Rating, Tenure, and Promotion Recommendations.

committee and Department Chair to evaluate the progress of an untenured regular rank faculty or a Special Rank faculty seeking reappointment and/or promotion.						
10 D a a a						

and specialty rank faculty towards promotion and takes a longer-term view when assessing a faculty member's progress toward tenure and promotion. In making their assessment, the TPR Committee bases their evaluation and recommendations on projected trends derived from the faculty member's entire body of work. In contrast, the Form 3 evaluation utilizes faculty approved merit-pay rubrics to assess each faculty member's annual performance; this is a short-term assessment used for making merit-pay adjustments. If the faculty member focuses attention on the short-term and ignores its long-term implications for tenure and promotion, the Form 3 evaluation and TPR recommendations are likely to be inconsistent. In contrast, Form 3 evaluations and TPR recommendations are likely to more consistent when faculty members align their annual performances to be compatible with a longer-term focus towards earning tenure and promotion. Correspondingly, the performance criteria and standards described below are used for evaluating faculty members for promotion and tenure.

Initial recommendations on personnel decisions are made independently by the TPR Committee and the department chair via a written assessment. In each case, progress towards achieving tenure and promotion standards for teaching, research, and service must be assessed and rated as *Excellent, Very Good, Good, Fair, Marginal, or Unsatisfactory*. An overall rating must also be provided using the same scale. These assessments are made by considering the faculty member's organizational citizenship behaviors as well as their performance outcomes pertaining to teaching, research, and service. Tables 1, 2, and 3 serve as guides for both the TPR Committee and for the candidate when it comes to assessments about teaching research and service that must be made by the committee in order to complete its evaluation of each candidate.

Organizational Citizenship.

Consistent with expectations of the Board of Trustees, collegiality will be considered by the committee when making reappointment, tenure, and/or promotion recommendations (see Board of Trustees Manual, Chapter III — Mission, Vision and Goals). Collegiality is a multi-dimensional construct that permeates the successful execution of all parts of the tripartite: teaching, research, and service and, thus, is evaluated as part of all three components. The importance of collegiality stems from Clemson's unique vision of "the Clemson Family" and from the Faculty Manual which states that, "The distinctive character of Clemson is reflected in the culture of collegiality and collaboration among faculty, students, staff, the administration, and the university board." Further, all department members should exhibit an ability and willingness, when appropriate, to engage in shared academic and administrative tasks that a department group must often perform, and participate with some measure of reason and knowledge in

discussions germane to department policies and programs.

Collegial behavior contributes to the creation of a positive Department culture and relies on the acceptance and cooperativeness of each department member in achieving the Department vision.

Correspondingly, there are basic responsibilities expected of all Marketing faculty members. These responsibilities are performed as a normal part of a faculty member's job-related everyday activities and are not considered service. Examples include, but are not limited to, being available, open, and facilitating in interactions with students and colleagues; integrity in scholarship; dependability in meeting professional commitments; respectfully communicating with colleagues, staff members, and students; attendance at department events; demonstrating professional behavior consistent with being a productive departmental citizen; participating constructively during departmental or college faculty or committee meetings; cooperating with others to meet departmental/college/University goals; and being respectful and inclusive of differences in methodologies, interests, and points-of-view of others.

Non-collegial behavior, then, is behavior that does not meet the definition of collegiality as stated above and detracts from the productivity of faculty and staff. Respectful disagreement and infrequent/isolated incidents of discord should not be designated as non-collegial behavior. Civil discussion related to differences of opinion and diverse views are crucial to the health of any academic environment. Given that, examples of non-collegial behavior includes, but is not limited to, regularly engaging in behaviors such as insulting other faculty members or students; being uninvolved in faculty meetings, university events and employment responsibilities; and, being unwilling to offer assistance to other faculty members, the department, or college when expertise, help, or advice is requested.

Although collegiality is not a dimension of performance that is quantitatively rated, it provides the foundation upon which tenure and promotion decisions rest. Consequently, non-tenured Faculty members who consistently display a lack of organizational citizenship/collegial behaviors may be subject to non-renewal. Similarly, tenure and promotions decisions will include an assessment of the faculty member's organizational citizenship behaviors.

Teaching

Teaching is fundamental to the professorial role of faculty who have responsibilities to their students. The Departmental vision is one that is committed to 1) developing and maintaining high quality undergraduate and graduate programs; 2) remaining current with Marketing and related literature pertaining to the courses that are taught such that students are introduced to cutting-edge thought and practices; 3) updating our Marketing courses and curriculum; and 4) serving as appropriate role models for our students. Thus, to be considered for reappointment, tenure and/or promotion, the faculty member must provide a detailed self-evaluation of teaching and instructional support performance, accompanied by documented evidence, including their sources. When evaluating teaching performance, the Committee will verify the accuracy of the materials provided by the faculty member and other materials available to them. Any evidence that the Committee cannot verify will be discounted and may result in a recommendation of nonrenewal or no promotion. The committee will base its evaluation of a faculty member's teaching on the dimensions indicated in Table 1. A successful candidate for Tenure and Promotion would exhibit consistently strong performances across the teaching dimensions.

Table 1 – Performance Dimensions for Teaching

	Teaching Dimension	Examples of Support ^a
1.	Consistent and Effective ^a Teaching Performance	 Student Ratings^b of very good or better Teaching awards Demonstration of course rigor Solicited and unsolicited student feedback
2.	Evidence of New Course Development and Consistent updating of course materials.	 New course creation Curriculum change leadership Number and type of Creative Inquiries beyond normal teaching load. Number and level of course preparations Innovative teaching approach
3.	Evidence of Consistent and Effective Advising and Mentoring	 Ph.D. Committee Chair or Committee member Master's Committee Chair or Committee member MS-Marketing Project Mentoring
4.	Evidence of Professional Development and Scholarly Achievements	 Marketing textbook author/co-author Published marketing text support materials Visiting Teaching Professor Journal publication or text chapter on teaching related issue Case study publication

Consistent means that the candidate has shown effective class performance throughout his/her time at Clemson and/or during the time s/he has been a faculty member.

Research and Publication.

To count fully, overall teacher evaluation response rate must be 50% or higher per class. If the teacher evaluation response rate is less than 50%, then the Committee will use evidence from "other teaching performance outcomes" to compensate for the low teacher evaluation response rates.

Research is an integral part of a regular-rank faculty member's job and it is the primary activity which keeps the content of education current, pertinent, and challenging to students. Indeed, faculty members have responsibilities to their disciplines and to the advancement of knowledge generally. Tenured and tenure-track Marketing faculty are expected to conduct research that enhances both their and the Department's visibility and stature within the Marketing Discipline. Therefore, research published in refereed journals or scholarly books is an important performance dimension in faculty reappointment, promotion, and tenure decisions. Personnel decisions will be based on research output in refereed journals or other acceptable outlets rather than on research input or work-in-progress. At the same time assessment of research respects that a significant activity, under a given assessment area, may develop over more than one academic year, so that demonstrable outcomes may be forthcoming. In this case, evidence of the work-in-progress should be provided, along with correspondence from an editor or organizer of the work concerning its status, whenever possible.

Both the quality and quantity of research and publications are important. However, quality of contribution to the body of knowledge in a faculty member's area of interest is the major criterion. Collaboration in research and publications is desirable and we recognize and value cross-disciplinary research, however, faculty members should demonstrate an ability to take an idea from its inception to its conclusion. This is especially important for faculty members applying for promotion to the rank of Professor. Therefore, faculty members are strongly recommended to develop a publication record that includes lead-authorship or single-authored works in marketing journals along with multi-authored publications. External funding of research (where the faculty member is listed as a primary investigator) can be an indicator of excellence when such research contributes to the body of knowledge.

To be considered for reappointment, tenure and/or promotion, the faculty member must provide a detailed self-evaluation of research performance, accompanied by documented evidence, including their sources. When evaluating research performance, the Committee will verify the accuracy of the materials provided by the faculty member and other materials available to them. Any evidence that the Committee cannot verify will be discounted and may result in a recommendation of nonrenewal or no promotion. The committee will base its evaluation of a faculty member's research on the dimensions indicated in Table 2. Indices of research performance appear in Table 2 – Performance Dimensions for Research. A successful candidate for Tenure and Promotion would exhibit consistently strong performances across the research dimensions.

Research Dimension

Examples of Support

1.	Consistent record of high- quality journal publications in marketing	 A journal publication record that is appropriate for the rank in which the faculty member is being considered. A journal publication record that includes publications in high quality Marketing journals (e.g., the Department Journal list, including journal impact factor scores)
2.	Individual research impact/reputation within the Marketing Discipline	 Bibliometric indicators of a faculty member's research impact that are commensurate to the rank in in which the faculty member is being considered, including^a, total citations h-index, g-index, hg-index, i10-index Number of publications with 100 citations or more. Number of lead articles in an issue. Number of lead and sole authorship journal publications. Research awards (e.g., Best Journal Article awards) Rate of Citations (e.g., Google Scholar profile)
3.	Other Research Activity	 Evidence of an active and continuing research pipeline that includes the number and journal targets of requests for revision and resubmissions Conference paper and presentation activity External research funding efforts.

^a See Soutar, Wilkinson and Young (2015) for guidelines. Evidence of journal impact is to be verifiable using Harzing Publish or Perish, Google Scholar metrics, and/or an actively maintained Google Scholar Profile.

Service to the Department, College, University, and the Business Community

As a unit within the College of Business, the Department of Marketing serves a number of constituencies including students, the Department, the College, the University, the business community, and the citizens of South Carolina and the academic profession. Thus, faculty members have responsibilities to their colleagues both within and outside the University as well as to the University itself and they should accept a reasonable share of the responsibility for the governance of the Department, the College, the University, and their professional associations. Consulting or other projects for which faculty members receive compensation above their contractual salary are not normally regarded as service activities. Moreover, faculty members who join Clemson University with prior experience are expected to participate in a higher level of service commensurate with their time in the discipline and rank.

To be considered for reappointment, tenure and/or promotion, the faculty member must provide a detailed self-evaluation of service performance, accompanied by documented evidence, including their sources. When evaluating service performance, the Committee will verify the accuracy of the materials provided by the faculty member and other materials available to them. Any evidence that the Committee cannot verify will be discounted and may result in a recommendation of nonrenewal or no promotion. The committee will base its evaluation of a faculty member's service on the dimensions indicated in Table 3. A successful candidate for Tenure and Promotion would exhibit consistently strong performances across the service dimensions.

Table 3 – Performance Dimensions for Service

Service Dimension	Examples of Support
Consistent evidence of Departmental Service ^a	 Strategic, Core, and Support responsibilities Appointment and/or Committee responsibilities (e.g., Chair or member)
2. College and/or University Service	 Strategic, Core, and Support responsibilities Appointment and/or Committee responsibilities (e.g., Chair or member)
3. Discipline Service	 Journal Editor/Guest Editor Associate/Area Journal Editor Editorial Review Board Member Journal Advisory Board Member Journal Reviewer (Number of reviews) Conference Program Chair/Co-Chair Conference Activity (paper reviewer, session chair, discussant) Academic Marketing Organization Officer
4. Public and/or Community Service ^b	See Clemson's Outreach webpage for information about possible ways to engage in service.

Consistent means that the candidate has shown effective service throughout the faculty member's time at Clemson and/or during the time s/he has been a faculty member. In addition, acceptable service levels are expected to increase with years at Clemson and as the candidate nears their penultimate year.

ARTICLE IV DOCUMENTATION

The primary responsibility for collecting and presenting evidence belongs to the candidate seeking reappointment, promotion and/or tenure. Candidates are advised to be thorough and systematic in

Public and Community service does not include activities that faculty members would normally perform as citizens of a community, church members, or parents (e.g., attending primary- or secondary- school activities to help their children). Community service would include those activities where marketing expertise is normally or explicitly required/requested.

preparing their submissions. Each request for reappointment, promotion and tenure requires the uploading of supportive materials through, and as specified by the University's on-line system. Faculty seeking reappointment, tenure or promotion must initiate a request early in the fall semester. The deadlines set forth by the University must be observed. These dates are available each year from the Dean's office.

Basic Documentation for TPR Notebook. Each candidate for reappointment, promotion and/or tenure must provide the TPR Committee with the following items which should be uploaded to the TPR system in a timely manner:

- 1. A letter requesting reappointment, promotion, and/or tenure.
- 2. A detailed vita
- 3. Specific evidence in support of teaching, research and publication, and service activities including:
 - a. Brief statements of the candidate's teaching, research and publication, and service. It would be appropriate for the candidate to also address the role that collegiality has played in each area.
 - b. Self-evaluation for each of the three dimensions.
 - c. Statements of short and long-term goals, if not reflected in the philosophy statements above.
 - d. A copy of summary sheets of the teaching evaluation forms for all classes taught and a reasonable number of student comments sheets. (The candidate may wish to develop and include summary statistics; data should be pre-sorted for all courses taught at Clemson since employment, last promotion, or for a minimum of the past four years, except for instructional formats in which the collection of data may be impractical).
 - e. Course syllabi and other educational materials.
- 4. Where candidates believe it appropriate and helpful, their linkage between a dimension of performance (teaching, research and publication, service) and a success measurement (indicator of excellent or very good performance) should be delineated.
- 5. Any other clarifying statements or additional information the candidate wishes to include.

Other Required Documentation. The candidate must provide other documentation, including sources, in their TPR notebook to package support their petition for tenure and/or promotion. The Committee will verify the accuracy of the supporting materials provided by the faculty member and other materials available to them. Any evidence that the Committee cannot verify will be discounted and may result in a recommendation of nonrenewal or no promotion. Examples of other documentation for each of the performance dimensions may include the following.

Teaching:

- 1. Letters documenting teaching awards or honors
- 2. Summaries of course grades and comparisons with departmental norms, i.e., evidence of rigor in grading
- 3. Objective surveys of appropriate groups of present and former students
- 4. Letters from students, both present and former
- 5. Evidence of special efforts to improve teaching skill and effectiveness
- 6. Letters from employers whose employees have attended a course taught by the candidate
- 7. A self-assessment of the quality and comprehensiveness of course syllabi and related teaching materials.
- 8. Other documentation on teaching success that the faculty member believes is relevant, e.g., awards or special recognitions for teaching, senior exit survey results, alumni surveys, faculty peer review comments, data documenting student learning outcomes, incorporation of practical applications and real world experiences into the classroom or enrollment patterns, extent of involvement or supervision of independent studies, etc.

Research and Publications:

- 1. Reviews or critiques written by others on the faculty member's journal publications and scholarly books.
- 2. Reprint requests and unsolicited letters which evaluate research and publication
- 3. Information on journals in which the faculty member has published (e.g., topical content, scope, editorial policies, and acceptance rates).
- 4. Table that documents research and publication productivity and impact. The Table is to include Clemson Marketing Department Journal Tiers and rankings; most current journal level bibliometric data (e.g., Google H5, Scopus Incites, Scopus SJR, SSCI Journal Impact factor); personal research impact/reputation data (e.g., see Table 2 above).
- 5. Evidence of research productivity, e.g., a copy of a letter from a journal indicating receipt of a paper, a revision, etc.
- 6. Upload a digital copy of each published journal article.

Service to the Department, Profession, the University, and the Public

- 1. Documentation of outreach efforts to the marketing and business community
- 2. A listing of academic and other professional organization offices held by the faculty member
- 3. Documentation of awards or honors received through service efforts
- 4. Published reviews/critiques written by the candidate on the scholarly works of others
- 5. Letters/notes acknowledging reviewing and other service activities
- 6. A listing of activities at international, national and regional meetings
- 7. Identification of work performed as a committee chairman or member, e.g., how often the committee met, work done outside of the committee meeting, work done in the committee meeting, and required time commitment (a copy of committee minutes might be helpful
- 8. Other documentation on service to the department, college, university, Marketing discipline, and business community

Approvals

Chair, Department of Marketing	Date	
Dean, College of Business	Date	
Provost, Clemson University	Date	