

IACUC Protocol Post Approval Self Evaluation Form

If you have any questions or concerns, please contact Brian Horman at
bhorman@clemsun.edu

Protocol Title : _____

Protocol # : _____

Investigator : _____

Date of Approval : _____

For each item listed below, please select Yes, No, or Not Applicable (N/A) to indicate the laboratory's compliance with the approved animal use protocol and any amendments.

I. AUP AND PERSONNEL

Description	Yes	No	N/A
Are all staff listed on the AUP up to date?			
Do all staff have access to and understand the AUP?			
Can the staff describe their duties and practices related to the project?			
Have all staff been trained and training records up to date and available?			
Have amendments been submitted for any changes to the AUP?			
Are laboratory staff performing the procedure (s) listed on the AUP?			
Are all staff enrolled in the Occupational Health Program/CITI training			

II. ANIMAL WELFARE

Description	Yes	No	N/A
Are animals adequately identified at all times?			
Are the appropriate species, strain, age, and number of animals consistent with the AUP?			
Are accurate animal numbers recorded?			
Are the appropriate personnel contacted if there are concerns about the animal's health/care of the animals?			

III. FACILITY

Description	Yes	No	N/A
Are logs for eye wash (weekly) and shower stations (monthly) up to date?			
Are chemical fume hoods, laminar flow hoods, and safety shower certifications current?			
Are emergency contact and plan documents up to date and available?			
Are food and bedding labeled and stored, and are vermin controlled?			
Are working/living spaces clear and clean?			
Are Reporting Concerns signage prominently displayed?			

IV. BREEDING

Description	Yes	No	N/A
Are animals weaned at appropriate times?			
Are animals separated into appropriate size cages?			
Are all mating, birth, and weaning records current?			
Is an appropriate and approved method for genotyping used?			

V. ANESTHESIA

Description	Yes	No	N/A
Are the agents/methods of anesthesia in compliance with the AUP?			
Are anesthetized animals monitored according to the approved methods in the AUP?			
Are pharmaceutical-grade compounds being used for anesthesia?			
Are anesthetic vaporizers calibration current and scavenged appropriately?			

VI. PROCEDURES

Description	Yes	No	N/A
Are the procedures performed consistent with guidelines in the AUP?			
Are unexpected morbidity, mortality or other problems reported to vet staff and IACUC?			
Are only pharmaceutical-grade compounds used unless otherwise approved in the AUP?			

VII. SURGERY

Description	Yes	No	N/A
Is the surgical plane of anesthesia reached before initiation of surgery and maintained for the duration of the procedure as described in AUP?			
Are instruments and devices sterilized before use?			
Are instruments disinfected between surgeries?			
Are personnel trained to recognize experimental and humane endpoints and symptoms of illness?			
Are drugs and materials within expiration dates and properly stored?			

VIII. POST-SURGICAL CARE

Description	Yes	No	N/A
Are animals monitored post-procedure until fully recovered, as described in the AUP?			
Are analgesics used for painful procedures and/or surgeries?			
Are analgesic dosages, frequency, and routes of administration accurately recorded?			
Are controlled drugs used, stored, and recorded correctly and within the expiration date?			
Is an appropriate heat source used for recovery?			
Is there an up-to-date and complete surgical/procedure log?			
Have all post-operative problems been reported to the vet staff?			

IX. EUTHANASIA

Description	Yes	No	N/A
Are the methods of euthanasia consistent with what is within the AUP?			
Is death assured by performing an approved physical/secondary method of euthanasia?			
Are animal carcasses disposed of promptly and correctly?			
Is euthanasia performed away from the presence of other live animals?			

X. TEACHING

Description	Yes	No	N/A
Are all students enrolled in MSP and completed CITI training?			
Are all instructors and TA's on the current AUP?			

XI. RECORDKEEPING

Description	Yes	No	N/A
Are current animal numbers recorded and kept current?			
Is medication/anesthetic/analgesic administration accurately documented?			
Are medical and post-procedural care progress notes complete and accurate?			
Are injections, blood collection and fluid collection amounts dated and documented?			
Are animals tracked when transferred/used on a research or teaching protocol and upon their return to the management protocol (farm/colony/culture management protocols)?			

XII. FIELD/WILDLIFE

Description	Yes	No	N/A
Are all personnel working with animals on this AUP trained in the potential for disease transmission between study subjects or researchers and study subjects?			
Are all study locations present on the current AUP?			
Is the impact of capture consistent with the AUP?			
Have all adverse events that occur been recorded and reported to IACUC?			
Are all applicable permits for all procedures, species, and locations in place?			
Are non-target species trapped recorded and reported?			
Are traps appropriately placed and checked according to the AUP timeline?			
Is there a contingency plan for animals hurt in the collection process?			